



PTO/SB/08A (10-07)

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**INFORMATION DISCLOSURE  
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|------------------------|-------------------|
| Application Number     | 09/987,226        |
| Filing Date            | NOVEMBER 14, 2001 |
| First Named Inventor   | RAYMOND A. JOAO   |
| Art Unit               | 3626              |
| Examiner Name          | L. NAJARIAN       |
| Attorney Docket Number | RJ371             |

Sheet 1 of 8

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US- 5,776,057                            | 07-07-1998                     | SWENSON, ET AL.                                    |   |
|                    |                       | US- 5,761,334                            | 06-02-1998                     | NAKAJIMA, ET AL.                                   |   |
|                    |                       | US- 5,724,968                            | 03-10-1998                     | ILIFF  |   |
|                    |                       | US- 5,666,953                            | 09-16-1997                     | WILK   |   |
|                    |                       | US- 5,660,176                            | 08-26-1997                     | ILIFF  |   |
|                    |                       | US- 5,594,638                            | 01-14-1997                     | ILIFF  |   |
|                    |                       | US- 5,583,758                            | 12-10-1996                     | MCILROY, ET AL.                                    |   |
|                    |                       | US- 5,551,436                            | 09-03-1996                     | YAGO   |   |
|                    |                       | US- 5,544,651                            | 08-13-1996                     | WILK   |   |
|                    |                       | US- 5,437,278                            | 08-01-1995                     | WILK   |   |
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|                    |                       | US- 5,331,550                            | 07-19-1994                     | STAFFORD, ET AL.                                   |   |
|                    |                       | US- 5,324,077                            | 06-28-1994                     | KESSLER, ET AL.                                    |   |
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Sheet **2** of **8****U. S. PATENT DOCUMENTS**

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| Art Unit               | 3626              |
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|                       |                          | US- 5,974,124                            | 10-26-1999                     | SCHLUETER, JR., ET AL.                             |   |
|                       |                          | US- 5,961,448                            | 10-05-1999                     | SWENSON, ET AL.                                    |   |
|                       |                          | US- 5,957,854                            | 09-28-1999                     | BESSON, ET AL.                                     |   |
|                       |                          | US- 5,954,641                            | 09-21-1999                     | KEHR, ET AL.                                       |   |
|                       |                          | US- 5,935,060                            | 08-10-1999                     | ILIFF  |   |
|                       |                          | US- 5,910,107                            | 06-08-1999                     | ILIFF  |   |
|                       |                          | US- 5,899,857                            | 05-04-1999                     | WILK   |   |
|                       |                          | US- 5,895,354                            | 04-20-1999                     | SIMMONS  |   |
|                       |                          | US- 5,878,746                            | 03-09-1999                     | LEMELSON, ET AL.                                   |   |
|                       |                          | US- 5,876,351                            | 03-02-1999                     | ROHDE  |   |
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|                       |                          | US- 5,862,803                            | 01-26-1999                     | BESSON, ET AL.                                     |   |
|                       |                          | US- 5,839,438                            | 11-24-1998                     | GRAETTINGER, ET AL.                                |   |
|                       |                          | US- 5,807,256                            | 09-15-1998                     | TAGUCHI, ET AL.                                    |   |
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|                       |                          | US- 5,801,755                            | 09-01-1998                     | ECHERER  |   |
|                       |                          | US- 5,797,901                            | 08-25-1998                     | COSMESCU   |   |
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|                    |                       | US- 4,895,518                            | 01-23-1990                     | ARNOLD, ET AL.                                     |   |
|                    |                       | US- 5,070,452                            | 12-03-1991                     | DOYLE, JR., ET AL.                                 |   |
|                    |                       | US- 5,185,857                            | 02-09-1993                     | ROZMANITH, ET AL.                                  |   |
|                    |                       | US- 5,219,322                            | 06-15-1993                     | WEATHERS   |   |
|                    |                       | US- 5,217,379                            | 06-08-1993                     | KIRSCHENBAUM, ET AL.                               |   |
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|                    |                       | US- 5,696,981                            | 12-09-1997                     | SHOVERS  |   |
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|                    |                       | US- 5,036,852                            | 08-06-1991                     | LEISHMAN   |   |
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|                    |                       | US- 5,544,649                            | 08-13-1996                     | DAVID, ET AL.                                      |   |
|                    |                       | US- 5,262,943                            | 11-06-1993                     | THIBADO, ET AL.                                    |   |
|                    |                       | US- 4,803,625                            | 02-07-1989                     | FU, ET AL.   |   |
|                    |                       | US- 5,465,082                            | 11-07-1995                     | CHACO  |   |
|                    |                       | US- 4,835,372                            | 05-30-1989                     | GOMBRICH, ET AL.                                   |   |
|                    |                       | US- 5,930,759                            | 07-27-1999                     | MOORE, ET AL.                                      |   |

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

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|------------------------|-------------------|
| Application Number     | 09/987,226        |
| Filing Date            | NOVEMBER 14, 2001 |
| First Named Inventor   | RAYMOND A. JOAO   |
| Art Unit               | 3626              |
| Examiner Name          | L. NAJARIAN       |
| Attorney Docket Number | RJ371             |

Sheet **6** of **8****U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 5,961,332                            | 10-5-1999                      | JOAO   |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

|                        |                   |
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| Application Number     | 09/987,226        |
| Filing Date            | NOVEMBER 14, 2001 |
| First Named Inventor   | RAYMOND A. JOAO   |
| Art Unit               | 3626              |
| Examiner Name          | L. NAJARIAN       |
| Attorney Docket Number | RJ371             |

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| Sheet | 7 | of | 8 |
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                   |
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|  |   |    |   | Examiner Name            | L. NAJARIAN       |
| Sheet  | 8 | of | 8 | Attorney Docket Number   | RJ371             |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                          | L. MCCULLOUGH ET AL., "THE DEVELOPMENT OF A MICROCOMPUTER-BASED MENTAL HEALTH INFORMATION SYSTEM, A POTENTIAL TOOL FOR BRIDGING THE   |                |
|                                 |                          | SCIENTIST-PRACTITIONER GAP", AMERICAN PSYCHOLOGIST, FEBRUARY 1986, PGS 207-213  |                |
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